

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

2

☐ Supplemental (37 C.F.R. §1.67)

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Artery Smooth Muscle- and Vein Smooth Muscle-Specific Proteins and Uses Therefor

the specification of which (check one)

☐ is attached hereto.☒ was filed on November 20, 2001 as United States ApplicationNumber or PCT International Application No. 09/988,496

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
(Number)	(Country)	(Day/Month/Year filed)		YES	NO
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor:

David J. Anderson

Inventor's Signature _____

Date _____

Residence 2108 Glenview Terrace, Altadena, CA. 91101

Citizenship U. S.

Mailing Address Same

Full name of second joint inventor:

Guillermo Garcia-Cardena

Inventor's Signature _____

Date _____

Residence 116 Riverway Drive, Apt. 17, Boston, MA 02215

Citizenship Mexico

Mailing Address Same

Full name of third joint inventor:

Michael A. Gimbrone, Jr.

Inventor's Signature _____

Date _____

Residence 30 Hopkins Road, Jamaica, Plain, MA 02130

Citizenship U.S.

Mailing Address Same

Full name of fourth joint inventor:

Hai U. Wang

Inventor's Signature 

Date 2/20/02

Residence 1134 LaSierra Drive, Eldorado Hills, CA 95762

Citizenship China P.R.

Mailing Address Same



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Date

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Citizenship U. S.

Mailing Address Same

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Inventor's Signature

Date 02/09/02

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Citizenship Mexico

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Inventor's Signature

Date

02/08/02

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Mailing Address Same

Full name of fourth joint inventor:

Hai U. Wang

Inventor's Signature

Date

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<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year filed)</u>	[]	[]	[]
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year filed)</u>	[]	[]	[]
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year filed)</u>	[]	[]	[]

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Full name of first joint inventor:

David J. Anderson

Inventor's Signature

David J. Anderson

Date

2/25/02

Residence

2108 Glenview Terrace, Altadena, CA. 91101

Citizenship

U. S.

Mailing Address Same

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U.S.

Mailing Address Same

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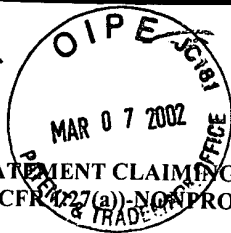
Residence

1134 LaSierra Drive, Eldorado Hills, CA 95762

Citizenship

China P.R.

Mailing Address Same



STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)) - NONPROFIT ORGANIZATION

DOCKET NUMBER: 1802.1004-001

#5

Applicant or Patentee: David J. Anderson, Guillermo Garcia-Cardena, Michael A. Gimbrone, Jr. and Hai U. Wang

Application or Patent No.: 09/988,496

Filed or Issued: November 20, 2001

Title: Artery Smooth Muscle- and Vein Smooth Muscle-Specific Proteins and Uses Therefor

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Brigham and Women's Hospital

ADDRESS OF NONPROFIT ORGANIZATION 75 Francis Street
Boston, MA 02115

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

California Institute of Technology
1200 E. California Boulevard (M/C 201-85)
Pasadena, California 91125

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Brian Hicks

TITLE IN ORGANIZATION OF PERSON SIGNING Corporate Sponsored Research Licensing

ADDRESS OF PERSON SIGNING 75 Francis Street, Boston, MA 02115

SIGNATURE Brian N. Hicks DATE 2/11/02